

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Barry McQuain  
**Serial No.** : 09/961,026  
**Examiner** : CHANDLER, SARA M.  
**Filed** : September 20, 2001  
**For** : SECURITIES PRICING SYSTEM  
**Art Unit** : 3693  
**Confirmation No.** : 4659

Electronically Filed  
Commissioner for Patents  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE UNDER 37 C.F.R. § 1.111**

Sir:

In response to the Office Action of May 21, 2007, kindly amend the subject application as follows.

A listing of the **Claims** begins on page 2 of this paper.

The **Remarks** begin on page 11 of this paper.